

GUIDE TO SEXUAL ORIENTATION, GENDER IDENTITY, EQUALITY LAWS

SELF-ASSESSMENT CHECKLIST FOR COMPLIANCE and SUGGESTED BEST PRACTICES FOR EMPLOYMENT

The *Self-Assessment Checklist for Compliance and Suggested Best Practices*, is a practical tool for businesses, employers, and other covered entities. By following this Guide and developing and implementing appropriate policies and procedures, you can help prevent confusion and discrimination in the workplace, foster an inclusive environment and limit the likelihood that a discrimination complaint is filed against you.

This Guide is not intended to take the place of professional legal advice. Equality and Diversity UK Ltd will not be held liable for any losses caused by reliance on the accuracy, reliability or timeliness of such information.

The Guide is divided into two sections: policies and actions required for compliance, and policies and actions suggested for compliance.

Note: This Guide is specific to employers, business owners, human resource managers, and other entities who want concrete information about how to comply with the Equality Act 2010. Where “Company” is referred to, we mean all covered entities that employ staff.

Note: This is an interim interpretive statement and a work in progress. Please let us know about your experience using this tool, and any suggestions for improvement.

For further information and to provide feedback and suggestions, please contact info@equalityanddiversity.co.uk

Name of Company, Business, Agency, or Organisation:

Person/Title Completing Evaluation:

Date / /

Directions: Please complete this form by ticking either **Yes** or **No**. Responses should be based on supporting documentation that your company or business keeps on file.

SECTION 1: CHECKLIST FOR COMPLIANCE

Note: Where applicable, the policies and actions are required for compliance.

RECRUITMENT/SELECTION

1. Our company lists sexual orientation and gender reassignment/identity*, as part of our non-discrimination policy.

***Note:** "Sexual orientation means heterosexuality, homosexuality, bisexuality, and gender reassignment or identity. Gender reassignment or identity means having or being perceived as having a gender identity, self-image, appearance, behavior, or expression, whether or not that gender identity, self-image, appearance, behavior, or expression is different from that traditionally associated with the sex assigned to that person at birth."

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

2. Our company engages in employment practices (e.g. recruiting, promotion, assignment, training, etc.) that eliminate discrimination on the basis of all protected characteristics, including sexual orientation and gender reassignment/identity.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

3. Our company includes sexual orientation and gender reassignment/ identity as part of our non-discrimination policy when publicising information about our company, including in languages other than English.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

4. Our company has reviewed our standard employment interview questions and application forms and removed any biased questions, as well as questions that might be used in a discriminatory way (e.g. "lifestyle" questions).

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

STAFF TRAINING

5. Have all current employees been made aware of the new protections of the Equality Act 2010?

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

If **yes**, how have employees been made aware?

- Announcement in Company Newsletter
- Announcement Posting
- Announcement in Staff Meeting
- Employee Training
- Other - Please list:

6. Our company has a published an internal grievance procedure providing for prompt and equitable resolution of employee complaints alleging discrimination based on sexual orientation or gender reassignment/identity.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

HUMAN RESOURCES

1. Our company's human resources department has updated policies and procedures for investigating and resolving complaints of discrimination based on someone's real or perceived sexual orientation or gender reassignment/identity.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

2. Our company provides education or training for managers and supervisors including an overview of our non-discrimination policy covering sexual orientation and gender reassignment/identity.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

Date of Last Training: / /

Date of Next Training: / /

3. Our human resources department has a standard procedure allowing for employees to use a preferred name on company email, documents, voice mail, name tags, etc.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

4. Our human resources department has a standard procedure employees can use to change their legal names on employment-related documents, regardless of the reason.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

5. Our human resources department has policies in place that protect the confidentiality of employees who are transitioning* or who have transitioned (e.g. the human resources department will not disclose confidential information about an individual's transgender status including former name, legal gender, or medical status).

***Note:** "Transition" refers to the social and/ or medical process a transgender person undergoes to assert his or her gender identity. The transition process can include changing one's name, changing one's preferred pronoun, taking hormones, or having gender reassignment surgery.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

6. Our company is aware that a transgender employee may have a legal name and/or legal gender designation that is not consistent with the employee's gender reassignment/identity. Our company will not consider a transgender employee to be acting in a fraudulent manner if he or she discloses this conflicting information.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

COMPARABLE COMPENSATION, TERMS, AND CONDITIONS

Note: Compensation, terms, and conditions must be provided equally regardless of one's sexual orientation or gender reassignment/identity. In addition, unmarried couples (both heterosexual and gay or lesbian) must be equally provided benefits (e.g. a company would not be in compliance to offer child care benefits to unmarried opposite-sex couples, but not offer the same benefit to Civil Partnership/unmarried same-sex couples).

7. Our company offers domestic partner benefits equally to unmarried same-sex and Opposite-sex domestic partners

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

8. Our company offers family-leave benefits equally to unmarried same-sex and opposite-sex domestic partners.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

9. Our company policies permit employees to comply with dress codes in an appropriate manner that is consistent with their gender reassignment/identity. These dress codes do not unduly burden employees of one sex, or employees who are transitioning (e.g. if women are allowed to wear pants or jeans to work, transgender women should also be allowed to wear pants or jeans).

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

10. Our company offers child care benefits equally to unmarried same-sex and opposite-sex domestic partners with children.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

11. Our company offers discounts (such as car insurance) and facilities (such as a gym) benefits equally to unmarried same-sex and opposite-sex domestic partners.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

12. Our company equally pays for the relocation or travel expenses of unmarried same-sex and opposite-sex domestic partners.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

13. Our company policies and culture equally allows for the display of photos, delivery of flowers, and visits from all couples, including married spouses and unmarried partners.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

14. Our company policy allows for transgender employee to use the restroom and locker room matching the gender he or she publicly asserts.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

15. Our company policies allow for a transgender person to take medical leave in the same manner as other employees needing medical leave. For example, if an employer does not provide sick leave, the employer should provide leave without pay to transgender employees in the same manner that leave is provided to employees seeking other types of medical care.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

16. Our company policies equally provide services to all customers or clients regardless of real or perceived sexual orientation or gender reassignment/identity.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

17. Our company policies and actions equally allow for the promotion and compensation of employees regardless of one's sexual orientation or gender reassignment/identity.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

18. Our company does not take sexual orientation or gender identity into account when determining a reduction in hours, lay-off, or termination of employment.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

19. Our company equally extends (or does not extend) health insurance benefits to unmarried same-sex and opposite-sex domestic partners.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

20. Our company equally allows for the creation of and membership in company sponsored affinity groups, including groups related to LGBT issues.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

21. Our company equally provides adoption and parenting benefits to all employees, regardless of sexual orientation.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

22. Our company has a policy to avoid the creation of a hostile work environment, including harassment based on sexual orientation and gender reassignment/identity.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

23. Our company solicited feedback from LGBT employees and incorporated their ideas into our implementation plan.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

SECTION 2: CHECKLIST FOR SUGGESTED BEST PRACTICES

Note: Companies have the opportunity to go beyond strict compliance. Doing so can help create a diverse, open work environment, limit claims of discrimination, and foster good relations with employees and the community. The policies and actions below are recommended for compliance.

WORKPLACE DIVERSITY

1. Our company has adopted a diversity program or plan that includes gay, lesbian, bisexual, and transgender (LGBT) people.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

2. Our company routinely disseminates information regarding our non-discrimination policies.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

3. Our company's policies and culture reflect an open, welcoming environment and organisational culture.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

4. Our company's policies and culture are such that the company respects the differences in beliefs, cultures, and sexual orientation.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

5. Our Employee Benefits package is prepared to assist our LGBT employees as well as our employees who are friends and family members of LGBT people.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

6. Our company has an alternative dispute resolution model in place should employees need to resolve a workplace dispute, including disputes regarding LGBT issues.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

7. Our company's mediator(s) are sensitive to the needs and concerns of LGBT people and families in the workplace.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

SECTION 3: WHAT TO DO WITH THE SELF-ASSESSMENT RESULTS:**DEVELOP AN ACTION PLAN TO CORRECT GAPS OR PROBLEMS**

After completing this self-assessment, use the table below to make a list of actions that will be taken to remedy gaps or problems. If needed, use this space to make a list.

RECOMMENDED CHANGE**PERSON(S) RESPONSIBLE****GOAL DATE FOR COMPLETION****GOAL DATE FOR IMPLEMENTATION and DISSEMINATION**

PRACTICE CHANGES

1. Our company provided updated staff diversity training to upper level management, including an overview of our company policies and procedures with respect to non-discrimination based on sexual orientation and gender reassignment/identity.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

2. Our company provided updated staff diversity training to all employees and volunteers, including an overview of our company policies and procedures with respect to non-discrimination based on sexual orientation and gender reassignment/identity.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

3. Our company provided updated staff with a revised policy and procedure manual, including information about our policies regarding non-discrimination based on sexual orientation and gender reassignment/identity.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

4. Our company developed a timetable with milestones to address or revise our Company's non-discrimination policy, including non-discrimination based on sexual orientation and gender reassignment/identity.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

MONITOR INTERNAL COMPLIANCE**1. Our company tracks complaints of discrimination.**

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

2. Our company handles all complaints of discrimination promptly and fairly.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

3. Our company monitors implementation of the action plan.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

4. Our company has explicit policies that allow employees to make complaints without fear of retaliation. In addition, the employee can go outside his or her chain of command to make complaints in order to feel more secure.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

EVALUATE SUCCESS

1. Our employees, from senior management to new starters, are made aware of and agree to adhere to our non-discrimination policy that includes sexual orientation and gender expression/identity.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

2. Since implementing this plan, our company feels better able to handle any challenges, claims of discrimination or concerns regarding the sexual orientation or gender expression/identity of our employees, volunteers, and clients.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

3. Our company has received fewer internal complaints of discrimination based on sexual orientation and gender reassignment/identity.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)

4. When complaints arise, employees have several avenues of redress, including accessing support through the Employee Benefits Package.

- Yes
- No
- Action taken (if yes)
- Corrective Action Taken (If no)